Attorney Docket No.

Express Mail Label No.

(516) 357-0091

Registration No. (Attorney/Agent) 45,747

Telephone

Fax

Date

(516) 357-0092

Oct. 27, 2003

EV413369081US

YOR920030534US1(8728-664)

TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

U.S.A

Eric M. Parham

Country

Signature

Name (Print/Type)

UTILITY

PATENT APPLICATION

William Eric Hall First Inventor SIMPLE UNIVERSAL HASH FOR PLAINTEXT.

Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or mit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) [Total Pages |20 Specification Computer Readable Form (CRF) (preferred arrangement set forth below - Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) Power of 37 CFR 3.73(b) Statement 10. - Abstract of the Disclosure Attorney (when there is an assignee) English Translation Document (if applicable) 11. Drawing(s) (35 U.S.C. 113) [Total Sheets 4. Copies of IDS Information Disclosure 12. 5. Oath or Declaration [Total Pages | 2 Citations Statement (IDS)/PTO-1449 Preliminary Amendment 13 Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** 15. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Request and Certification under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.:_ Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below Customer Number or Bar Code Label Frank Chau Name F. CHAU & ASSOCIATES, LLP 1900 Hempstead Turnpike, Suite 501 Address 11554 New York East Meadow State Zip Code City

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

121	882.	വ
(2)	002	.vv

Complete if Known			
Application Number			
Filing Date	October 27, 2003		
First Named Inventor	William Eric Hall		
Examiner Name			
Art Unit			
Attorney Docket No.	YOR920030534US1 (8728-664)		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None		3. ADDITIONAL FEES				
Order Order	Large Entity Small Entity					
Denosit	Fee Code	Fee (\$)		Fee (\$)	Fee Description	Fee Paid
Account 50-0510	1051	130	2051		Surcharge - late filing fee or oath	ree raid
Number Deposit Account	1052	50	2052		Surcharge - late provisional filing fee or	
Account Name				400	cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053 1812		Non-English specification For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1812 1804	920*	1804	•	Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920	1004	920	Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE	1253	950	2253		Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	1254		2254	740	• • • • • • • • • • • • • • • • • • • •	
Code (\$) Code (\$)	1255		2255		Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee 770.00			2401	.,		
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of Appeal Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee	1402 1403	330 290	2402		Request for oral hearing	
1004 770 2004 385 Reissue filing fee	1403		1451		Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1451	110	2452		Petition to institute a public use proceeding Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 770.00			2452	-		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1501		2501		Petition to revive - unintentional Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Paid	1501	480	2502		Design issue fee	
Total Claims 24 -20** = 4 X 18 = 72	1503	640	2503		Plant issue fee	
Independent 3 - 3** = D x 86 = 0	1460	130	1460		Petitions to the Commissioner	
Multiple Dependent 290 =	1807	50	180		Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806		Submission of Information Disclosure Stmt	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021	40	802		Recording each patent assignment per property (times number of properties)	40.00
1202 18 2202 9 Claims in excess of 20	1809	770	2809	9 385	Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3	45:5				(37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	J 385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	l i
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 72.00	Other	fee (sp	ecify) _			
**or number previously paid, if greater; For Reissues, see above	*Redu	iced by	Basic	Filing F	subtotal (3) (\$) 40.0	0

SUBMITTED BY				(Complet	e (if applicable))
Name (Print/Type) Eric M. Parham		Registration No. (Attorney/Agent)	45,747	Telephone 516-357-0091	
Signature	E. Pala			Date	Oct. 27 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTORNEY DOCKET NO. YOR920030534US1 (8728-664)

Date: October 27, 2003

Express Mail Label: EV413369081US Date of Deposit: October 27, 2003

Transmitted herewith for filing is the Patent Application of:

Inventors:

FOR:

BASIC FEE

TOTAL CLAIMS

INDEP CLAIMS

PRESENTED

Please address all

correspondence to:

Tel: (516) 357-0091 Fax: (516) 357-0092

F. CHAU & ASSOCIATES, LLP

East Meadow, NY 11554

1900 Hempstead Turnpike, Suite 501

William Eric Hall, Charanjit Singh Jutla

For:

SIMPLE UNIVERSAL HASH FOR PLAINTEXT AWARE ENCRYPTION

TOTAL DESCRIPTION OF THE PROPERTY OF THE PROPE

Enclosed are: [X] 11 sheets of specification; [X] 1 sheet(s) of Abstract; [X] 8 sheet(s) of claims; [X] 4 sheet(s) of drawing(s);

- [X] An assignment of the invention to: <u>International Business Machines Corporation, Armonk, New York</u>, with Recordation Form.
- [X] Declaration and Power of Attorney.
- A certified copy of a ______ application, from which priority under Title 35 USC §119 is claimed.
- [] Associate Power of Attorney.

The filing fee has been calculated as shown below:

(Col. 1)

NO. FILED

24-20 =

3-3 =

(Col. 2)

NO. EXTRA

4

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OTHER THAN A SMALL ENTITY

RATE	FEE		
	\$ 770.00		
X \$18 =	\$ 72.00		
X \$86 =	\$.00		
+ 290 =			
TOTAL	\$842.00		

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

MULTIPLE DEPENDENT CLAIMS

- [] Checks in the amount of \$ and \$ to cover the filing fee(s) and recording fee are enclosed.
- [X] Please charge my Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$842.00.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510/IBM (Yorktown Heights). A duplicate copy of this sheet is enclosed.
 - [X] Any additional filing fees required under 37 CFR 1.16.
 - [X] Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By:

Eric M. Parham

Registration No. 45,747

Attorney for:

IBM Corporation

Intellectual Property Law Dept.

P.O. Box 218

Yorktown Heights, NY 10598

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this Application transmittal and the documents referred to as enclosed are being deposited with the United States Postal Service on this date October 27, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV413369081US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Eric M. Parham